

Health Insurance Portability and Accountability Act

PATIENT'S RIGHTS UNDER HIPAA

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What is HIPAA?

- Acronym for Health Insurance Portability & Accountability Act of 1996 in the US.
- Provides a framework for protection of [patient confidentiality, security of electronic systems, and standards and requirements for electronic transmission of health information](#).
- It provides [data privacy](#) & security provisions for safeguarding medical information
- The law has emerged in recent years with the proliferation of health [data breaches](#) caused by cyber attacks & other online attacks on health insurers & providers.

What is HIPAA?

- 1 • **Privacy Rule**
- 2 • **Security Rule**
- 3 • **Electronic Data Exchange**



Privacy Rule

- Refers to **protection** of an individual's health care data.
- Defines how patient information **used & disclosed**.
- Gives patients privacy rights & **more control over their own health information**.
- Outlines ways to safeguard **Protected Health Information (PHI)**.



Security Rule

- Security means controlling:
 - **Confidentiality** of electronic protected health information (ePHI).
 - **Storage** of electronic protected health information (ePHI)
 - **Access** into electronic information



Why Comply With HIPAA?

- To show our **commitment** to protecting privacy
- As an employee, you are **obligated to comply** with Your Organization Name privacy & security policies & procedures
- Our patients/members are placing their **trust** in us to preserve the privacy of their most sensitive & personal information
- Compliance is **not an option, it is required.**
- If you choose not to follow the rules:
 - You could be put at risk, including personal penalties & sanctions
 - You could put your organization at risk, including financial & reputational harm



HIPAA Regulations & PHI

HIPAA Regulations require we protect our patients' **Protected Health Information (PHI)** in all media including, but not limited to, PHI created, stored, or transmitted in/on the following media:

- **Verbal** Discussions (i.e. in person or on the phone)
- **Written** on paper (i.e. chart, progress notes, encounter forms, prescriptions, x-ray orders, referral forms & explanation of benefit (EOBs) forms)
- **Computer** Applications and Systems (i.e. electronic health record (EHR), Practice Management, Lab and X-Ray)
- **Computer** Hardware/Equipment (i.e. PCs, laptops, pagers, fax machines, servers & cell phones)



Why is Privacy & Security Training Important?

- Outlines ways to prevent accidental & intentional **misuse** of PHI.
- Makes PHI secure with **minimal impact** to staff & business processes.
- It's about **doing the right thing!**
- Shows our commitment to managing electronic protected health information (ePHI) with the same care & respect as we expect of our own private information



HIPAA Definitions:

What is Protected Health Information (PHI)?

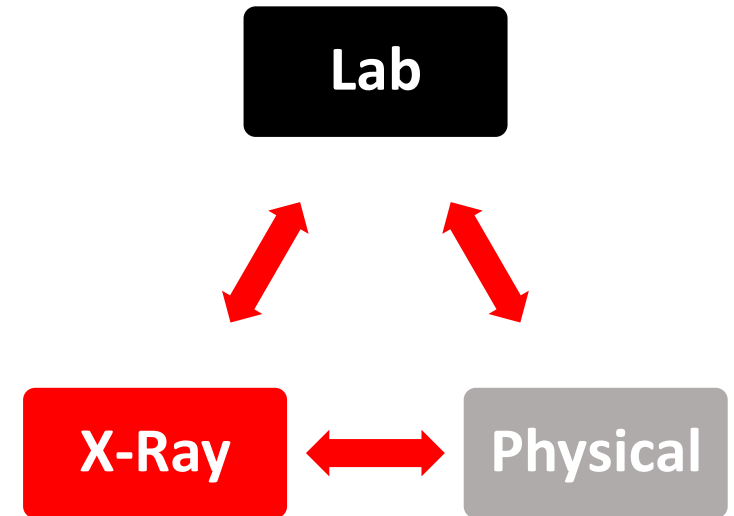
Protected Health Information (PHI) is individually identifiable health information that is:

- Created or received by a HCP, health plan, & employer and that→
 - ✓ Relates to the **past, present, or future physical or mental health** or condition of an individual;
 - ✓ Relates to the **provision of health care** to an individual
 - ✓ The past, present or future **payment for the provision of health care** to an individual.



What Does PHI Include?

- Information in the health record, such as:
 - Encounter/visit documentation
 - Lab results
 - Appointment dates/times
 - Invoices
 - Radiology films & reports
 - History & physicals (H&Ps)
 - Patient Identifiers



What are Patient Identifiers?

PHI includes information by which the **identity of a patient** can be determined with reasonable accuracy & speed either directly or by reference to other publicly available information.



What Are Some Examples of Patient Identifiers?

- Names
- Medical Record Numbers
- Social Security Numbers
- Account Numbers
- License/Certification numbers
- Vehicle Identifiers/Serial numbers/License plate numbers
- Internet protocol addresses
- Health plan numbers
- Full face photographic images & any comparable images
- Web universal resource locaters (URLs)
- Any dates related to any individual (date of birth)
- Telephone numbers
- Fax numbers
- Email addresses
- Biometric identifiers including finger & voice prints
- Any other unique identifying number, characteristic or code



What Are Uses & Disclosures?

- **Uses:** When we review or use PHI internally (i.e. audits, training, customer service, or quality improvement).
- **Disclosures:** When we release or provide PHI to someone (i.e. attorney, patient or faxing records to another provider).



What is Minimum Necessary?

- To use or disclose/release only the **minimum necessary** to accomplish intended purposes of the use, disclosure, or request.
- Requests from employees at [Organization]:
 - ✓ Identify each workforce member who needs to access PHI.
 - ✓ Limit the PHI provided on a **“need-to-know”** basis.
- Requests from individuals not employed at [Organization]:
 - ✓ Limit the PHI provided to what is needed to accomplish the purpose for which the request was made.



What is Treatment, Payment & Health Care Operations (TPO)?

- HIPAA allows Use and/or Disclosure of PHI for purpose of:
 - **Treatment** – providing care to patients.
 - **Payment** – the provision of benefits and premium payment.
 - **Health Care Operations** – normal business activities (i.e. reporting, quality improvement, training, auditing, customer service and resolution of grievances data collection and eligibility checks and accreditation).



Why Do We Need to Protect PHI?

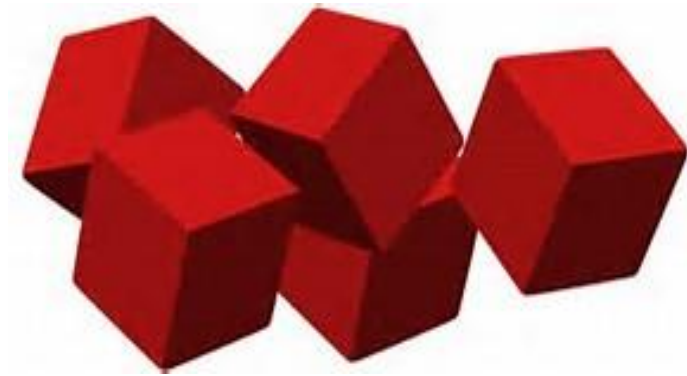
- It's the law.
- To protect our reputation.
- To build trust between providers & patients.
- If patients feel their PHI will be kept confidential, they will be more likely to share information needed for care.



What Are the Patient's Rights Under HIPAA?

HIPAA Regulations

- The Right to Individual Privacy
- The Right to Expect Health Care Providers Will Protect These Rights
- Other Patient Rights Include:
 - ✓ Access,
 - ✓ Communications,
 - ✓ Special Requests,
 - ✓ Amendment,
 - ✓ Accounting of Disclosures,
 - ✓ Notice of Privacy Practices and Reminders,
 - ✓ and the Right to File Complaints.



Patient Rights: Access & Inspect PHI



- Patient's have the right to inspect & copy their PHI.
- There are some situations where access may be denied or delayed:
 - Psychotherapy notes.
 - PHI compiled for civil, criminal or administrative action or proceedings.
 - If access would endanger a person's life or safety based upon professional judgment.
 - If a pt's request may jeopardize health & safety of the pt, other pts or others at the institution.
 - If a research study has previously secured agreement from the individual to deny access.
 - If PHI was obtained under promise of confidentiality & access would reveal the source of the PHI.

Patient Rights: Request Alternate Communication

- Patient has the right to request to receive **communication by alternative means** or location.
- For example:
 - The patient may request a bill be sent directly to him instead of to his insurance company.
 - The patient may request we contact her on cell phone instead of home telephone number.

To summarize.... HIPAA

HIPAA - Health Insurance Portability and Accountability Act

The HIPAA **Privacy** Rule:

- established standards to protect all forms of health information created by health care providers, health care institutions & other “covered entities.”
- gives patients certain controls over their health information.

The HIPAA **Security** Rule:

- established standards to protect electronic health information (ePHI).
- outlines security procedures to ensure the confidentiality, integrity and availability of ePHI.

To summarize.... HIPAA: Patients Rights

Patients have the right to:

- be informed of their rights & how their PHI will be used or disclosed.
- have access to or obtain copies of their health information. Under HIPAA, facilitating patient access to their PHI is just as important as protecting the privacy of that information.
- request corrections of information in their records.
- restrict certain disclosures of their information.
- receive an accounting of certain disclosures of their health information.
- be notified if the privacy or security of their information has been compromised.

END