

## 10.5 The Ratio and Root Tests

(63)

### The "Ratio Test"

Consider the infinite series  $\{a_n\}$  with positive terms.

Assume  $\lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} = \rho$ . Then

- if  $\rho < 1$ , then the series converges.
- if  $\rho > 1$ , then the series diverges. "or  $\rho = \infty$ "
- if  $\rho = 1$ , then the test is inconclusive.

Exp Apply Ratio test to

$$\begin{aligned} \text{[1]} \quad \sum_{n=1}^{\infty} \frac{n^2}{e^n} \quad \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} &= \lim_{n \rightarrow \infty} \frac{(n+1)^2}{e^{n+1}} \cdot \frac{e^n}{n^2} \\ &= \lim_{n \rightarrow \infty} \left(1 + \frac{1}{n}\right)^2 \frac{1}{e} = \frac{1}{e} < 1 \end{aligned}$$

Thus, the series converges by the ratio test.

$$\begin{aligned} \text{[2]} \quad \sum_{n=1}^{\infty} \frac{n!}{e^n} \quad \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} &= \lim_{n \rightarrow \infty} \frac{(n+1)!}{e^{n+1}} \cdot \frac{e^n}{n!} \\ &= \lim_{n \rightarrow \infty} \frac{n+1}{e} = \infty > 1 \end{aligned}$$

Thus, the series diverges by the ratio Test.

$$\begin{aligned} \text{[3]} \quad \sum_{n=1}^{\infty} \frac{1}{n} \quad &\text{"harmonic series which diverges"} \\ \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} &= \lim_{n \rightarrow \infty} \frac{1}{n+1} \cdot \frac{n}{1} = 1 \quad \text{"Ratio Test is inclusive"} \end{aligned}$$

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{1}{n^2} \quad &\text{"p-series with } p=2 \text{ which converges"} \\ \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} &= \lim_{n \rightarrow \infty} \frac{1}{(n+1)^2} \cdot \frac{n^2}{1} = \lim_{n \rightarrow \infty} \frac{1}{\left(1 + \frac{1}{n}\right)^2} = 1 \quad \text{"Ratio Test is inclusive"} \end{aligned}$$

$$\text{[4]} \quad \sum_{n=1}^{\infty} \frac{(n+3)!}{3! n! 3^n} \quad \text{converges by Ratio Test} \quad \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} = \lim_{n \rightarrow \infty} \frac{n+4}{3n+3} = \frac{1}{3} < 1 \quad \checkmark$$

### Th "The Root Test"

Consider the infinite series  $\sum a_n$  with  $a_n \geq 0$  for  $n \geq N$ .

Assume  $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \rho$ . Then

- if  $\rho < 1$ , then the series converges
- if  $\rho > 1$  or infinite, then the series diverges
- if  $\rho = 1$ , then the test is inconclusive.

Exp Apply the root test to

①  $\sum_{n=1}^{\infty} \left(\frac{1}{n} - \frac{1}{n^2}\right)^n$   $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\left(\frac{1}{n} - \frac{1}{n^2}\right)^n} = \lim_{n \rightarrow \infty} \left(\frac{1}{n} - \frac{1}{n^2}\right) = 0 < 1$

Thus, the series converges by the root test.

②  $\sum_{n=1}^{\infty} \frac{(\ln n)^n}{n^n}$   $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\left(\frac{\ln n}{n}\right)^n} = \lim_{n \rightarrow \infty} \frac{\ln n}{n} = 0 < 1$

Thus, the series converges by the root test.

③  $\sum_{n=1}^{\infty} \frac{3^n}{n^3}$   $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\frac{3^n}{n^3}} = \lim_{n \rightarrow \infty} \frac{3}{(\sqrt[n]{n})^3} = \frac{3}{1^3} = 3 > 1$

Thus, the series diverges by the root test.

④  $\sum_{n=1}^{\infty} \frac{1}{n}$  "harmonic series which diverges"  
 $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{1}{\sqrt[n]{n}} = 1$  "Root Test is inconclusive"

$\sum_{n=1}^{\infty} \frac{1}{n^2}$  "p-series which converges  $p=2$ "  
 $\lim_{n \rightarrow \infty} \sqrt[n]{a_n} = \lim_{n \rightarrow \infty} \sqrt[n]{\frac{1}{n^2}} = \lim_{n \rightarrow \infty} \frac{1}{(\sqrt[n]{n})^2} = \frac{1}{1^2} = 1$  "Ratio Test is inconclusive"

Ex Consider the recursive defined terms:  $a_1 = \frac{2}{5}$ ,  $a_{n+1} = \frac{2}{n} a_n$ . Does  $\sum_{n=1}^{\infty} a_n$  converge?  
 $a_2 = \frac{2^2}{1!}$ ,  $a_3 = \frac{2^3}{2!}$ ,  $a_4 = \frac{2^4}{3!}$ ,  $a_5 = \frac{2^5}{4!}$ ,  $a_6 = \frac{2^6}{5!}$  ...  $q = \frac{2}{n(n-1)!}$   
 Apply Ratio Test  $\Rightarrow \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} = \lim_{n \rightarrow \infty} \frac{2}{n} = 0 < 1 \Rightarrow$  The series converges. n ≥ 1