

4.1

Extreme Values of Functions

(77)

Def: Let  $f$  be a function with domain  $D$ .

- $f$  has an absolute maximum value on  $D$  at  $c$  if  $f(c) \geq f(x)$  for all  $x \in D$ .

- $f$  has an absolute minimum value on  $D$  at  $c$  if  $f(c) \leq f(x)$  for all  $x \in D$ .

• Maximum and minimum values are also called extreme values.

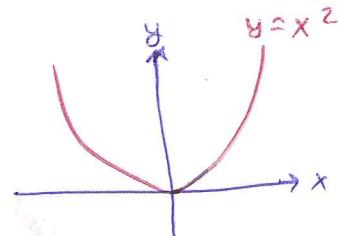
• The function might not have a maximum or minimum if the domain is unbounded or is not a closed interval.

Example

[a]  $y = x^2$  on  $(-\infty, \infty)$

No absolute maximum

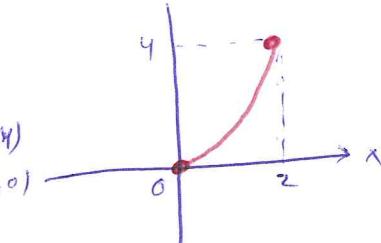
Absolute minimum at  $(0, 0)$   
(or  $\delta$  at  $x=0$ )



[b]  $y = x^2$  on  $[0, 2]$

Absolute maximum of 4 at  $x=2$  (2, 4)

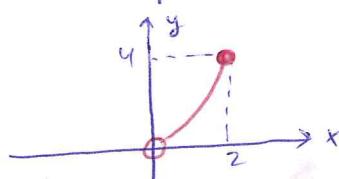
Absolute minimum of 0 at  $x=0$  (0, 0)



[c]  $y = x^2$  on  $(0, 2]$

Absolute maximum of 4 at  $x=2$

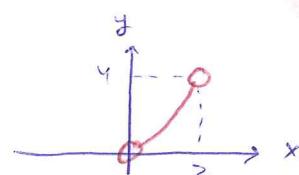
No absolute minimum



[d]  $y = x^2$  on  $(0, 2)$

No absolute max

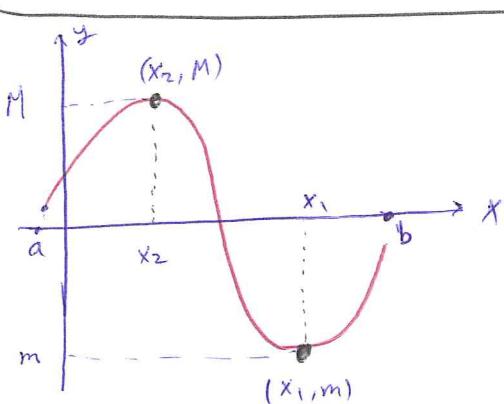
No absolute min



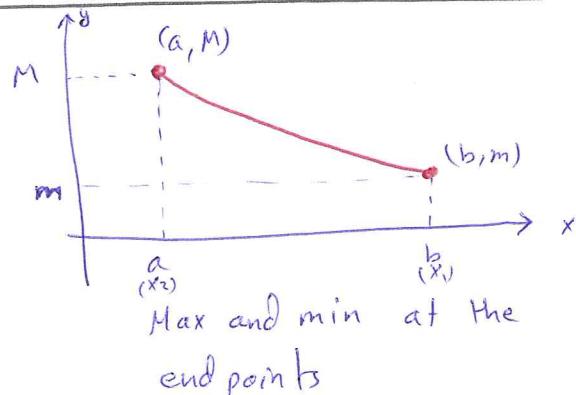
## Theorem 1 (The Extreme Value Theorem)

If  $f$  is continuous on a closed interval  $[a, b]$ ,  
then  $f$  attains both an absolute maximum value  $M$  and an absolute minimum value  $m$  in  $[a, b]$ .

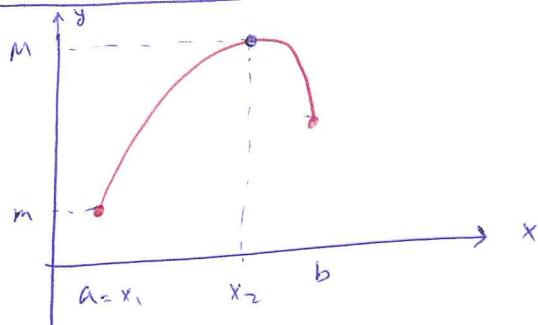
That is, there are numbers  $x_1$  and  $x_2 \in [a, b]$  with  $f(x_1) = m$  and  $f(x_2) = M$  and  $m \leq f(x) \leq M$  for every other  $x$  in  $[a, b]$ .



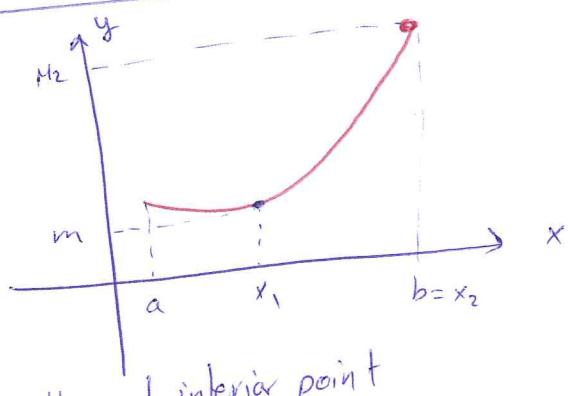
Max and min are interior points



Max and min at the end points



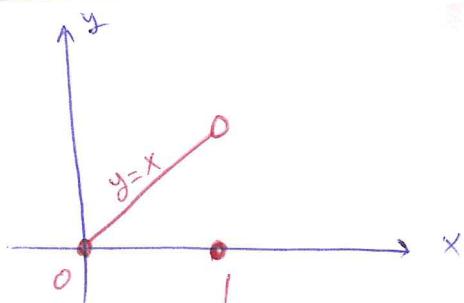
Max at interior point  
min at endpoint



Min at interior point  
max at end point

Example:  $f(x) = \begin{cases} x, & 0 \leq x < 1 \\ 0, & x=1 \end{cases}$

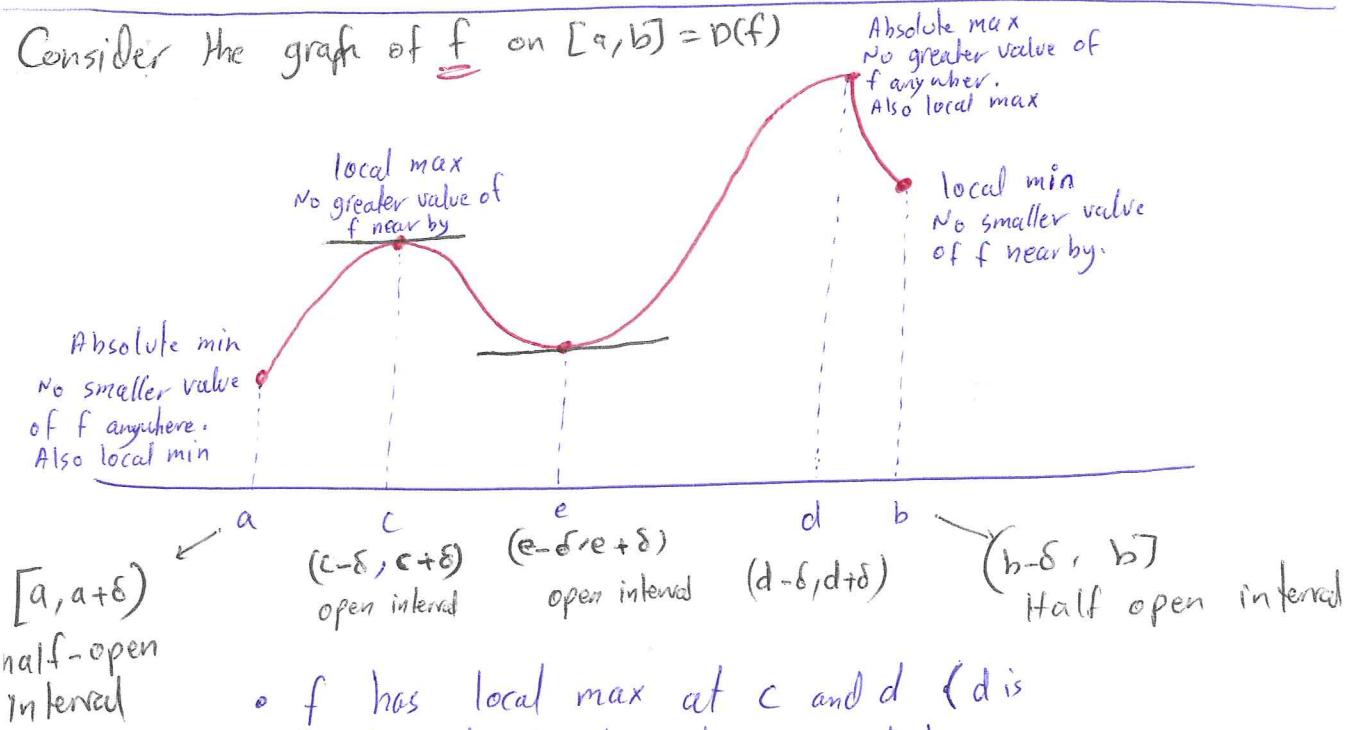
- Absolute minimum at  $(0, 0)$  and  $(1, 0)$   
of 0 at  $x=0, 1$



- No absolute max because of discontinuity at 1.

- Def. A function  $f$  has a local maximum at point  $c \in D(f)$  if  
 $f(c) \geq f(x)$  for all  $x \in D$  lying in some open interval contains  $c$ .
- A function  $f$  has a local minimum at point  $c \in D(f)$  if  
 $f(c) \leq f(x)$  for all  $x \in D$  lying in some open interval contains  $c$ .

Consider the graph of  $f$  on  $[a, b] = D(f)$



- $f$  has local max at  $c$  and  $d$  ( $d$  is
- $f$  has local min at  $a, e$  and  $b$

• local extrema are also called relative extrema.

- Absolute max is also local max
- Absolute min is also local min

Theorem: If  $f$  has a local maximum or minimum value at an interior point  $c \in D(f)$  and if  $f'(c)$  is defined then  $f'(c) = 0$

Proof: Suppose that  $f$  has a local max (for example) at  $x=c$

$$\Rightarrow f(c) \geq f(x) \text{ for all } x \text{ near } c$$

$\Rightarrow$  Since  $c$  is an interior point and  $f'(c)$  is defined

$$f'(c) = \lim_{x \rightarrow c^+} \frac{f(x) - f(c)}{x - c} \leq 0 \quad \text{and} \quad f'(c) = \lim_{x \rightarrow c^-} \frac{f(x) - f(c)}{x - c} \geq 0 \Leftrightarrow f'(c) = 0$$

(80)

Def: An interior point  $c \in D(f)$  where  $f'(c) = 0$  or  $f(c)$  is undefined is called a critical point.

Example: Find the critical points of  $y = x^2 - 32\sqrt{x}$

$$y' = 2x - \frac{16}{\sqrt{x}} = 0 \Leftrightarrow x - \frac{8}{\sqrt{x}} = 0$$

$$\Leftrightarrow \frac{x^{\frac{3}{2}} - 8}{\sqrt{x}} = 0 \Leftrightarrow x^{\frac{3}{2}} - 8 = 0 \Leftrightarrow \left(x^{\frac{3}{2}}\right)^{\frac{2}{3}} = \left(8\right)^{\frac{2}{3}} \Leftrightarrow x = 4$$

$x=0$

To find the Absolute Extrema of a continuous function  $f$  on  $[a, b]$ :

- ① Evaluate  $f$  at all critical points and endpoints.
- ② Take the largest and smallest of these values.

Example: Find absolute maximum and minimum of

$$\textcircled{1} \quad f(x) = \frac{2}{3}x^3 - 5 \quad -3 \leq x \leq 6$$

$$f'(x) = \frac{2}{3} \neq 0 \text{ no critical points}$$

$$f(-3) = \frac{2}{3}(-3)^3 - 5 = -2 - 5 = -7$$

$$f(6) = \frac{2}{3}(6)^3 - 5 = 4 - 5 = -1$$

$f$  has absolute max of  $-1$  at  $x = 6$   
 $f$  has absolute min of  $-7$  at  $x = -3$

$$\textcircled{2} \quad g(x) = x^2 - 32\sqrt{x} \quad \text{on } [1, 9]$$

critical points ~~are~~  ~~$x \leq 0$  and  $x = 4$~~  since  $x = 0 \notin D(g)$

$$g(0) = 0 \quad (\text{Absolute max of } 0 \text{ at } x=0)$$

$$\checkmark g(4) = 16 - 32(2) = 16 - 64 = -48 \quad (\text{Absolute min at } (4, -48))$$

$$g(1) = 1 - 32 = -31$$

$$g(9) = 81 - 32(3) = 81 - 96 = -15 \quad (\text{Absolute max of } -15 \text{ at } x=9)$$

