



# COMP242

# Data Structure



## Lectures Note: Binary Trees

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2016/2017



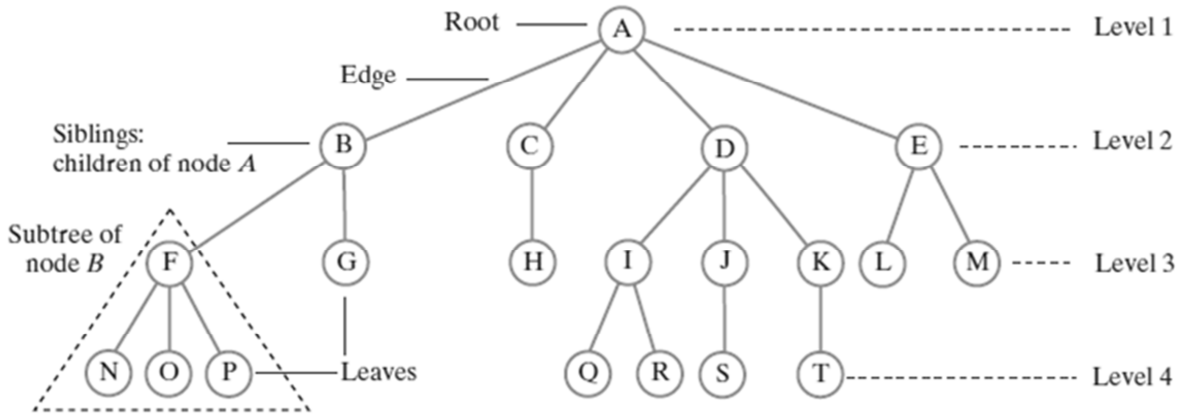


# Trees

Revision:

|        | Sorted Arrays    | Sorted Linked List |
|--------|------------------|--------------------|
| Search | Fast $O(\log n)$ | Slow $O(n)$        |
| Insert | Slow $O(n)$      | Slow $O(n)$        |
| Delete | slow $O(n)$      | Slow $O(n)$        |

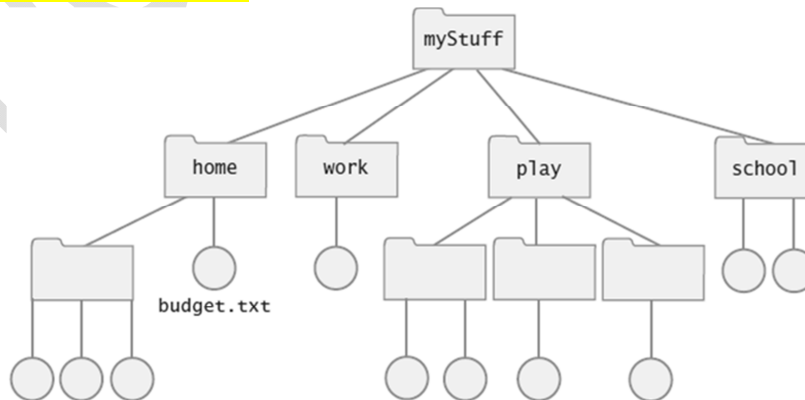
## Tree



- A **tree** is a collection of  $N$  **nodes**, one of which is the **root**, and  $N - 1$  **edges**.
- Every node except the **root** has one **parent**.
- Nodes with no children are known as **leaves**.
- An **internal node (parent)** is any node that has at least one non-empty child.
- Nodes with the same parent are **siblings**.
- The **depth of a node** in a tree is the length of the path from the **root** to the node.
- The **height** of a tree is the number of levels in the tree.

**Example 1: Family Trees (one parent)**

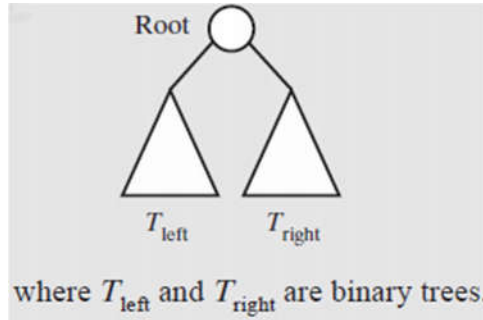
**Example 2: File system tree**



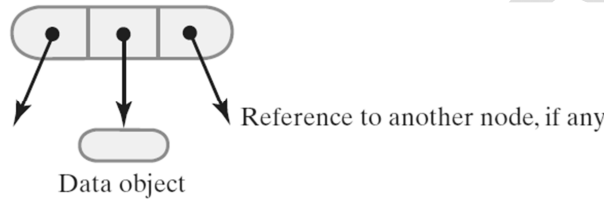


### Binary Trees

- A **binary tree** is a tree in which no node can have more than **two** children:



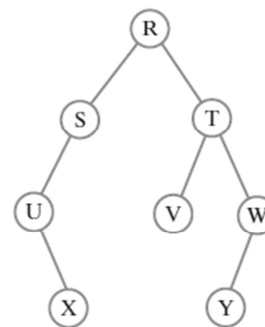
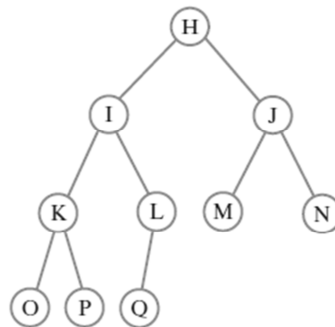
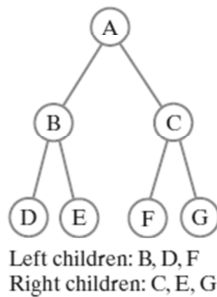
- Binary Tree **Node**:



(a) Full tree

(b) Complete tree

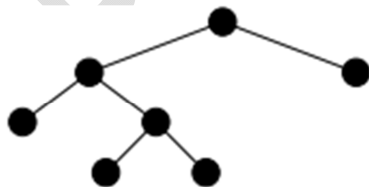
(c) Tree that is not full and not complete



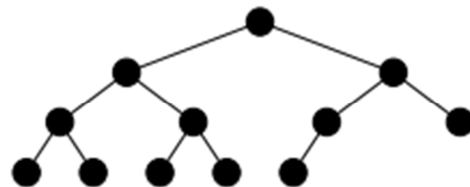
- (a) Each node in a **full binary tree** is either:

- (1) an internal node with exactly two non-empty children or
- (2) a leaf.

- (b) A **complete binary tree** has a restricted shape obtained by starting at the root and filling the tree by levels from left to right.



(a) This tree is full (but not complete).

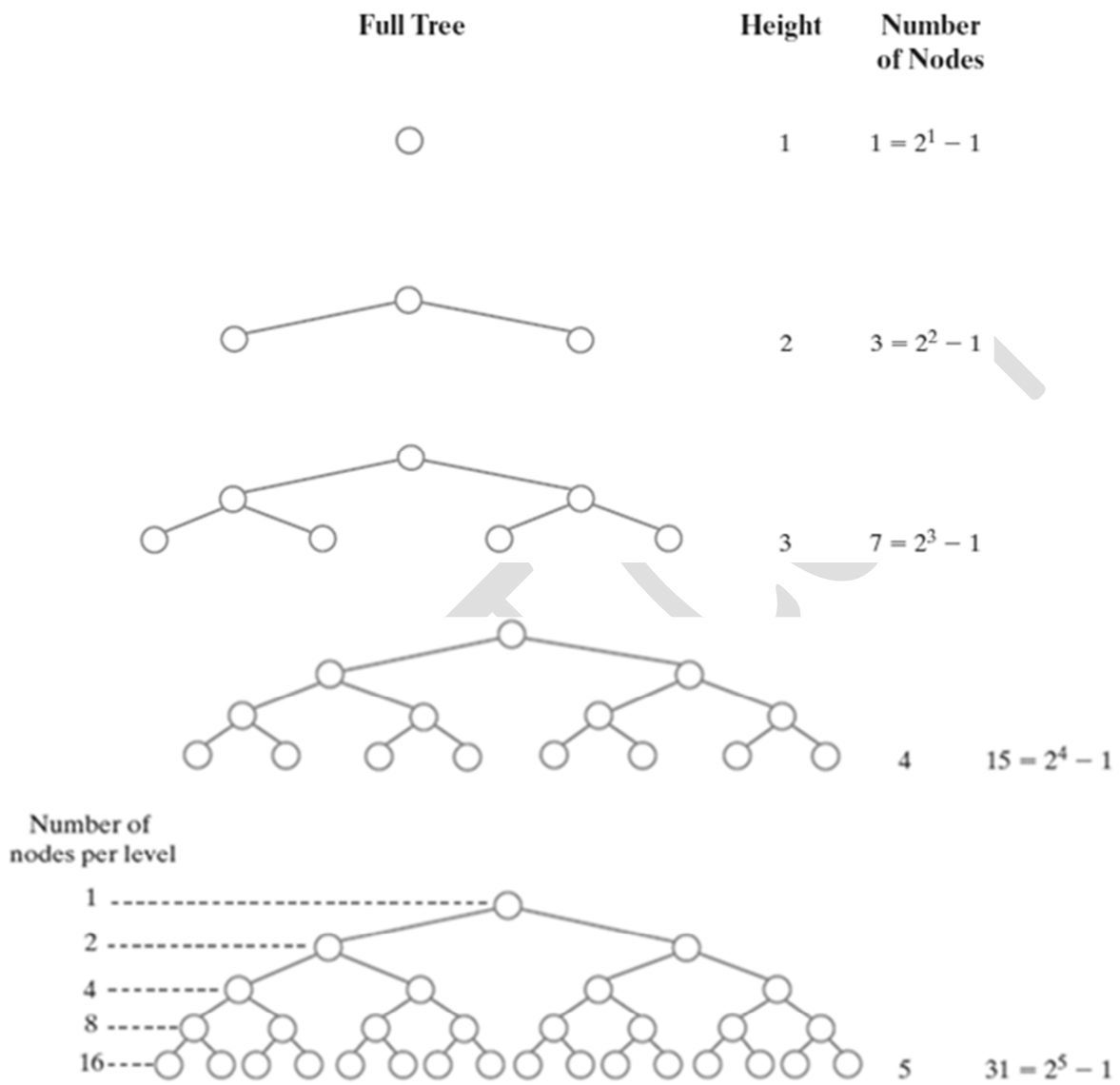


(b) This tree is complete (but not full).





- The maximum number of nodes in a full binary tree as a function of the tree's height =  $2^h - 1$



**Implementation:**

```

public class TNode<T extends Comparable<T>> {
    T data;
    TNode left;
    TNode right;

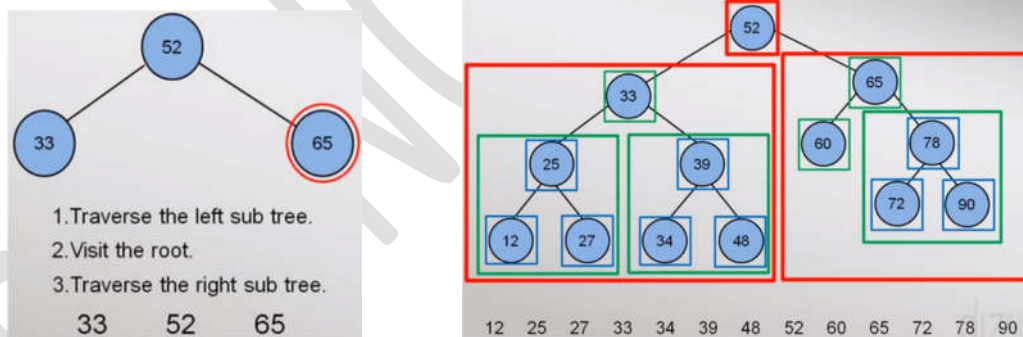
    public TNode(T data) { this.data = data; }
    public void setData(T data) { this.data = data; }
    public T getData() { return data; }
    public TNode getLeft() { return left; }
    public void setLeft(TNode left) { this.left = left; }
    public TNode getRight() { return right; }
    public void setRight(TNode right) { this.right = right; }
    public boolean isLeaf(){ return (left == null && right == null); }
    public boolean hasLeft(){ return left != null; }
    public boolean hasRight(){ return right != null; }
    public String toString() { return "[" + data + "]; }
}

```

**Tree Traversal**

**Definition:** visit, or process, each data item exactly once.

- **In-Order Traversal:** Visit root of a binary tree between visiting nodes in root's subtrees.



- **Recursive implementation:**

```

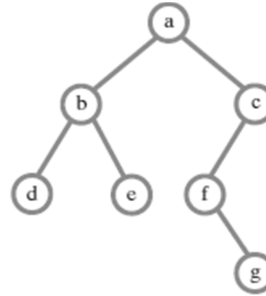
public void traverseInOrder() { traverseInOrder(root); }
public void traverseInOrder(TNode node) {
    if (node != null) {
        if (node.left != null)
            traverseInOrder(node.left);
        System.out.print(node + " ");
        if (node.right != null)
            traverseInOrder(node.right);
    }
}

```



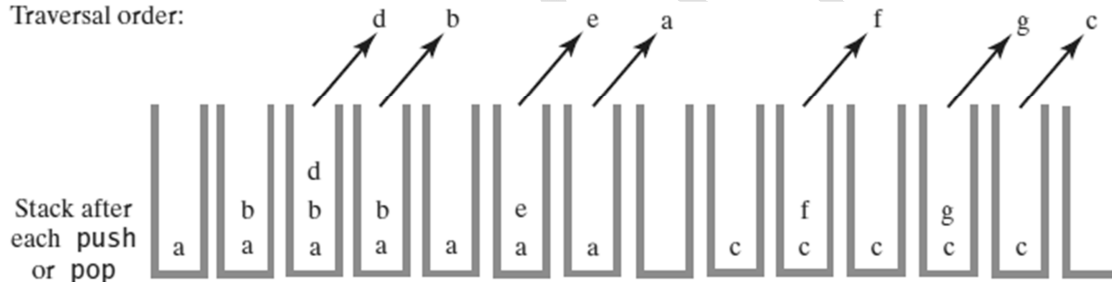


- Using a stack to perform an in-order traversal iteratively: (Optional)



- 1) Create an empty stack S.
- 2) Initialize current node as root
- 3) Push the current node to S and set current = current → left until current is NULL
- 4) If current is NULL and stack is not empty then
  - a) Pop the top item from stack.
  - b) Print the popped item, set current = popped\_item → right
  - c) Go to step 3.
- 5) If current is NULL and stack is empty then we are done.

Traversal order:



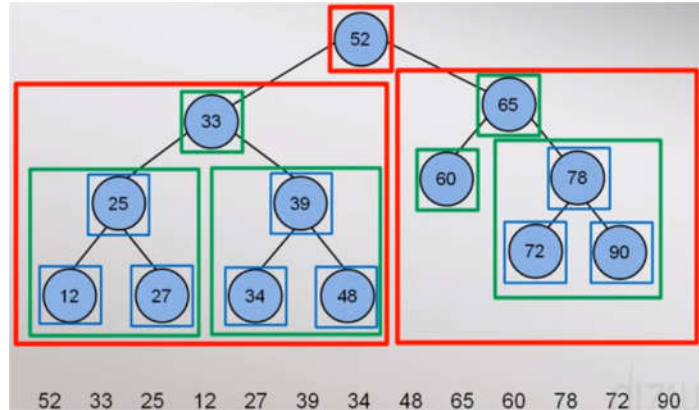
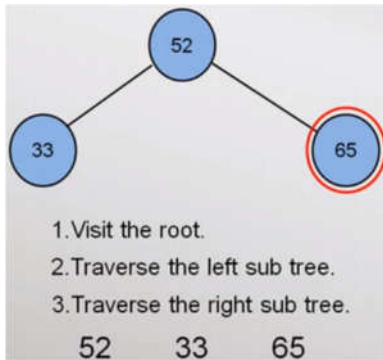
```

void traverseInOrder () {
    if (root == null) return;
    Stack<Node> stack = new Stack<Node>();
    Node node = root;
    //first node to be visited will be the left one
    while (node != null) {
        stack.push(node);
        node = node.left;
    }
    // traverse the tree
    while (!stack.isEmpty()) {
        // visit the top node
        node = stack.pop();
        System.out.print(node.data + " ");
        if (node.right != null) {
            node = node.right;
            // the next node to be visited is the leftmost
            while (node != null) {
                stack.push(node);
                node = node.left;
            }
        }
    }
}
  
```

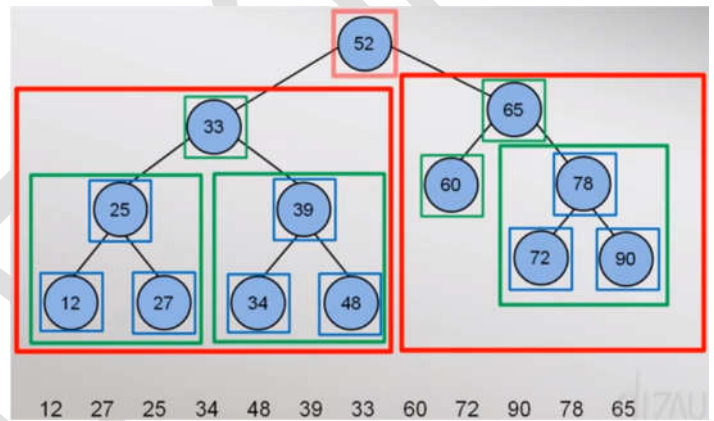
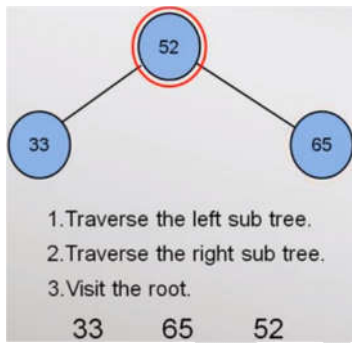




- **Pre-Order Traversal:** Visit **root** before we visit root's subtrees.



- **Post-Order Traversal:** Visit **root** of a binary tree after visiting nodes in root's subtrees.



- **Level-Order Traversal:** Begin at **root** and visit nodes one level at a time.

- The visitation order of a level-order traversal:

|  |  |
|--|--|
| <ol style="list-style-type: none"> <li>1) Create an empty queue q</li> <li>2) temp_node = root /*start from root*/</li> <li>3) Loop while temp_node is not NULL             <ol style="list-style-type: none"> <li>a) print temp_node-&gt;data.</li> <li>b) Enqueue temp_node's children (first left then right children) to q</li> <li>c) Dequeue a node from q and assign it's value to temp_node</li> </ol> </li> </ol> |  |
|--|--|

- Level-order traversal is implemented via a **queue**.
- The traversal is a breadth-first search.

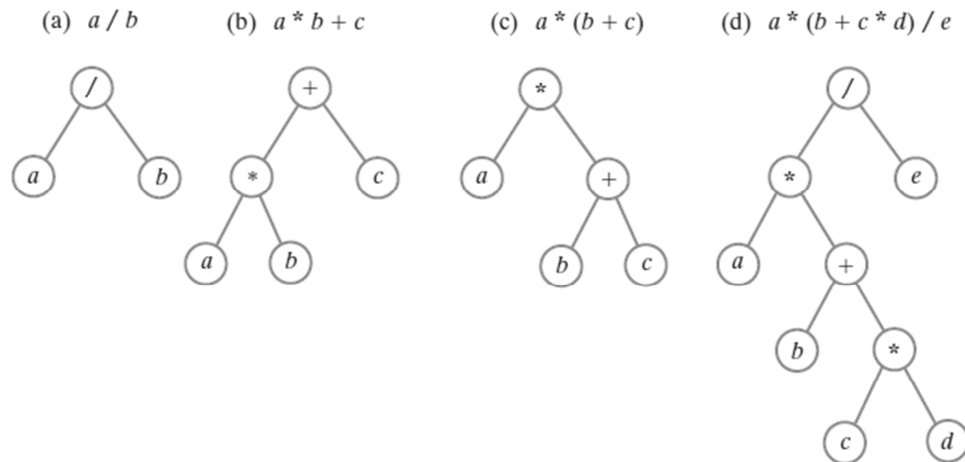
**HW: implement level-order traversal**





## Expression Trees

- The leaves of an expression tree are **operands**, such as **constants** or **variable** names, and the other nodes contain **operators**.
- It is also possible for a node to have only one child, as is the case with the **unary minus** operator.
- We can evaluate an expression tree by applying the **operator** at the **root** to the values obtained by **recursively** evaluating the **left** and **right** subtrees.



### Algorithm for evaluation of an expression tree:

```

Algorithm evaluate(expressionTree)
if (expressionTree is empty)
    return 0
else
{
    firstOperand = evaluate(left subtree of expressionTree)
    secondOperand = evaluate(right subtree of expressionTree)
    operator = the root of expressionTree
    return the result of the operation operator and its operands firstOperand
    and secondOperand
}

```

### Constructing an expression tree:

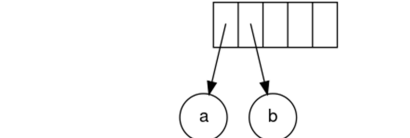
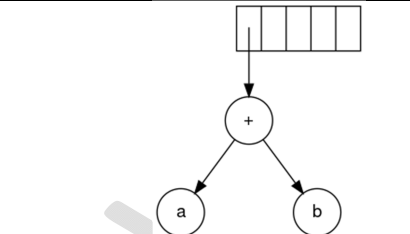
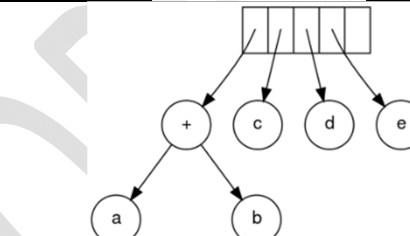
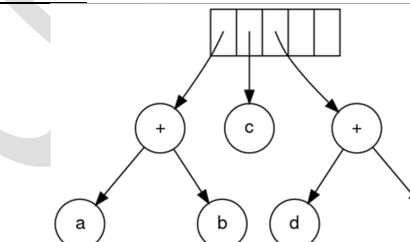
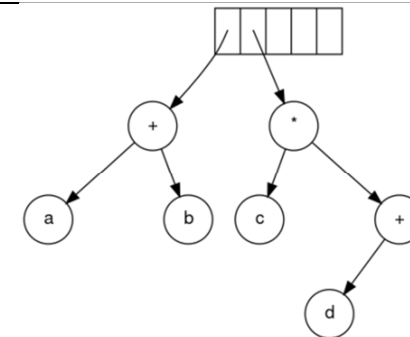
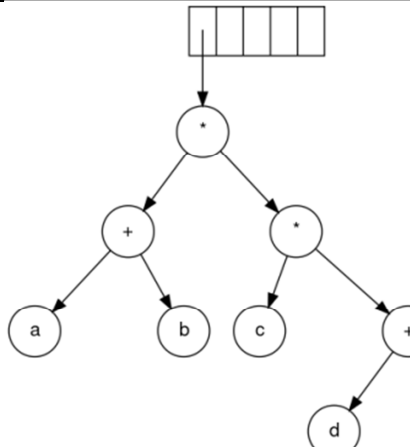
The construction of the expression tree takes place by reading the **postfix expression** one symbol at a time:

- If the symbol is an **operand**, one-node tree is created and a pointer is pushed onto a **stack**.
- If the symbol is an **operator**,
  - Two pointers trees **T1** and **T2** are popped from the stack
  - A new tree whose root is the **operator** and whose **left** and **right** children point to **T2** and **T1** respectively is formed .
  - A pointer to this new tree is then pushed to the Stack.





**Example: ( a b + c d e + \* \* )**

|   |  |
|---|--|
| <ul style="list-style-type: none"><li>• Since the first two symbols are operands, one-node trees are created and pointers are pushed to them onto a stack.</li></ul>                |    |
| <ul style="list-style-type: none"><li>• The next symbol is a '+'. It pops two pointers, a new tree is formed, and a pointer to it is pushed onto to the stack.</li></ul>            |    |
| <ul style="list-style-type: none"><li>• Next, c, d, and e are read. A one-node tree is created for each and a pointer to the corresponding tree is pushed onto the stack.</li></ul> |    |
| <ul style="list-style-type: none"><li>• Continuing, a '+' is read, and it merges the last two trees.</li></ul>  |   |
| <ul style="list-style-type: none"><li>• Now, a '*' is read. The last two tree pointers are popped and a new tree is formed with a '*' as the root.</li></ul>                        |  |
| <ul style="list-style-type: none"><li>• Finally, the last symbol is read. The two trees are merged and a pointer to the final tree remains on the stack.</li></ul>                  |  |

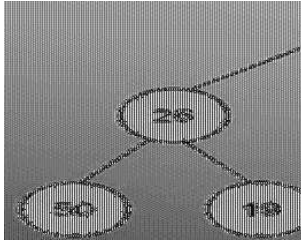




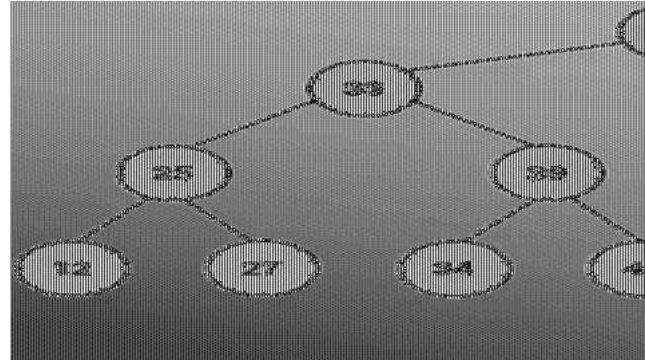
## Binary Search Trees (BST)

- **Problem:** searching in binary tree takes  $O(n)$ .
- **Solution:** forming a binary search tree.
- In a **binary search tree** for every node , **X**, in the tree, the values of all the items in its **left subtree** are smaller than the item in **X**, and the values of all the items in its **right subtree** are larger (*or equal if duplication is allowed*) than the item in **X**.

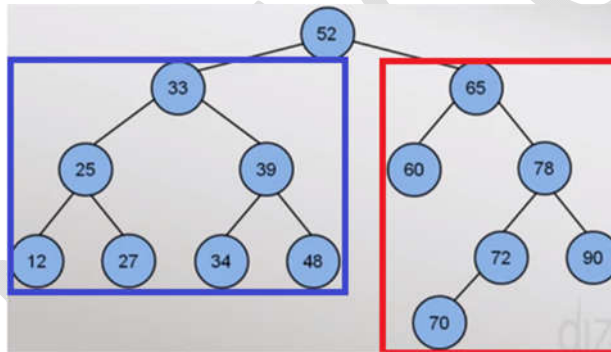
Binary Tree



Binary Search Tree



- Every node in a binary search tree is the root of a binary search tree.



- **Search for an item:**

Example: find(52), find(39), find(35)

```

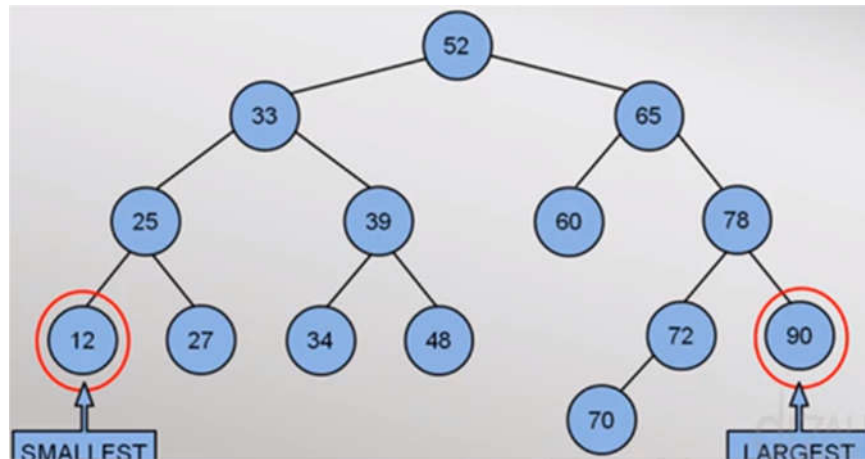
public TNode find(T data) { return find(data, root); }
public TNode find(T data, TNode node) {
    if (node != null) {
        int comp = node.data.compareTo(data);
        if (comp == 0)
            return node;
        else if (comp > 0 && node.hasLeft())
            return find(data, node.left);
        else if (comp < 0 && node.hasRight())
            return find(data, node.right);
    }
    return null;
}
  
```

**Efficiency:** Searching a binary search tree of height **h** is  $O(h)$

However, to make searching a binary search tree as efficient as possible, tree must be as **short** as possible.



## Finding Max and Min Values:



- The find **Min** operation is performed by following left nodes as long as there is a **left** child.
- The find **Max** operation is similar.

```

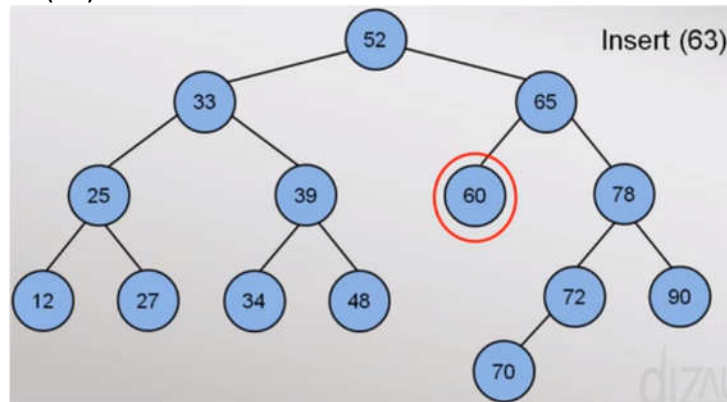
public TNode largest() { return largest(root); }
public TNode<T> largest(TNode node) {
    if(node != null){
        if(!node.hasRight())
            return (node);
        return largest(node.right);
    }
    return null;
}

public TNode smallest() { return smallest(root); }
public TNode<T> smallest(TNode node) {
    if(node != null){
        if(!node.hasLeft())
            return (node);
        return smallest(node.left);
    }
    return null;
}
    
```



### Insert in Binary Search Tree:

Example: insert(63)



```

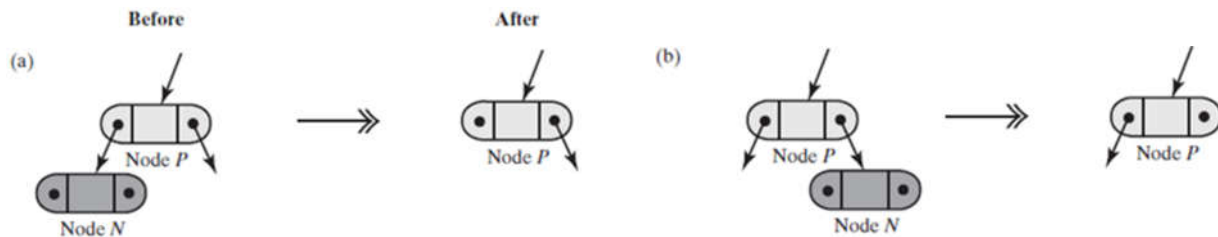
public void insert(T data) {
    if (isEmpty())
        root = new TNode(data);
    else
        insert(data, root);
}

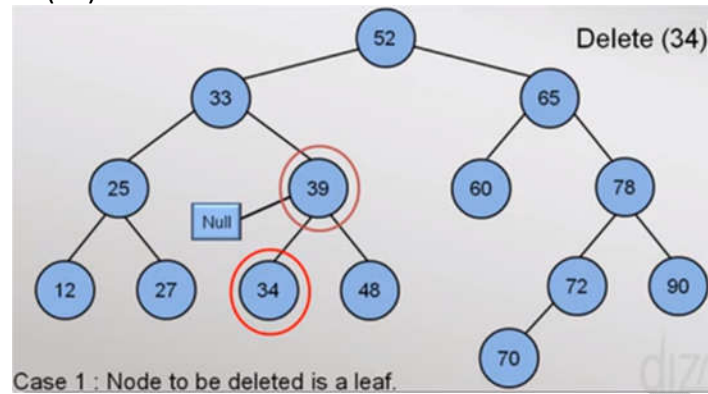
public void insert(T data, TNode node) {
    if (data.compareTo((T) node.data) >= 0) { // insert into right subtree
        if (!node.hasRight())
            node.right = new TNode(data);
        else
            insert(data, node.right);
    } else { // insert into left subtree
        if (!node.hasLeft())
            node.left = new TNode(data);
        else
            insert(data, node.left);
    }
}

```

### Deleting a Node:

Case 1: Node to be deleted is a leaf. Two possible configurations of a leaf node N: Being a **left** child or a **right** child:



**Example:** delete(34)

```

public TNode delete(T data) {
    TNode current = root;
    TNode parent = root;
    boolean isLeftChild = false;

    if (isEmpty()) return null; // tree is empty
    while (current != null && !current.data.equals(data)) {
        parent = current;
        if (data.compareTo((T)current.data) < 0) {
            current = current.left;
            isLeftChild = true;
        } else {
            current = current.right;
            isLeftChild = false;
        }
    }
    if (current == null) return null; // node to be deleted not found

    // case 1: node is a leaf
    if (!current.hasLeft() && !current.hasRight()) {
        if (current == root) // tree has one node
            root = null;
        else {
            if (isLeftChild) parent.left = null;
            else parent.right = null;
        }
    }

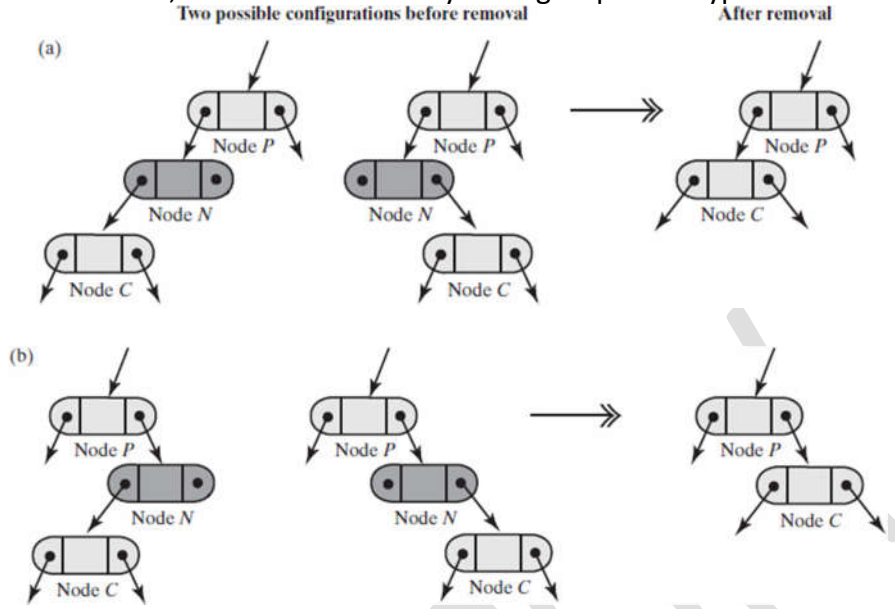
    // other cases
    return current;
}

```

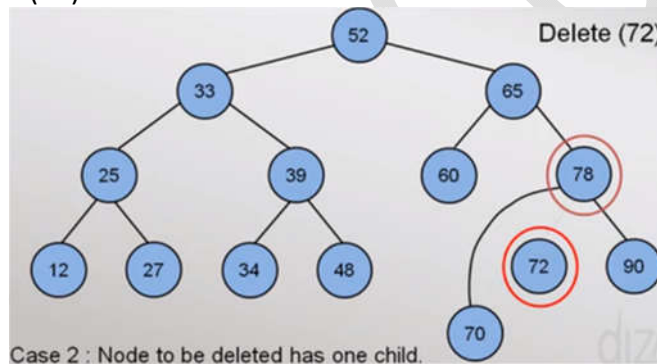




Case 2: If a node has one child, it can be removed by having its parent bypass it.



Example: delete (72)



Note: The root is a special case because it does not have a parent.

```

// Case 2 broken down further into 2 separate cases
else if (current.hasLeft()) { // current has left child only
    if (current == root) {
        root = current.left;
    } else if (isLeftChild) {
        parent.left = current.left;
    } else {
        parent.right = current.left;
    }
} else if (current.hasRight()) { // current has right child only
    if (current == root) {
        root = current.right;
    } else if (isLeftChild) {
        parent.left = current.right;
    } else {
        parent.right = current.right;
    }
}
}

```

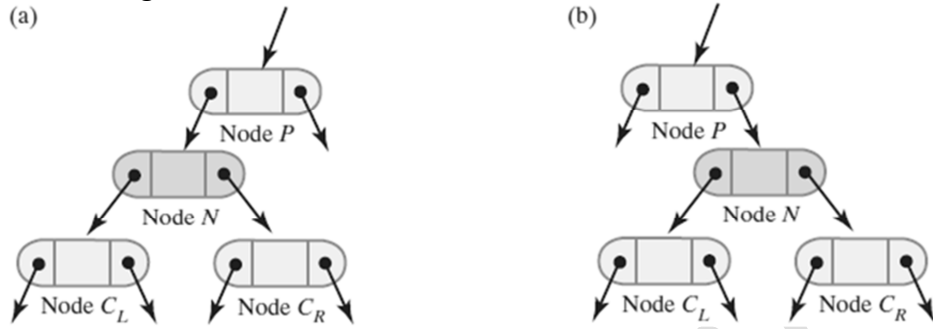






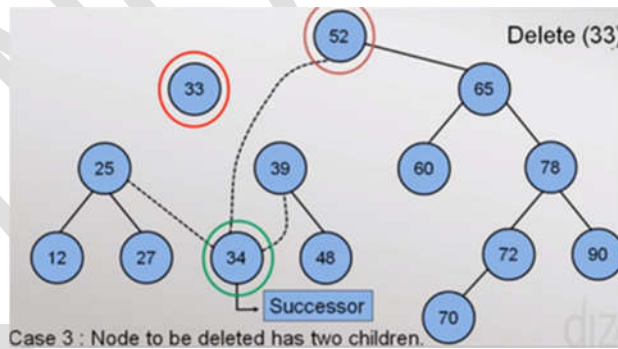
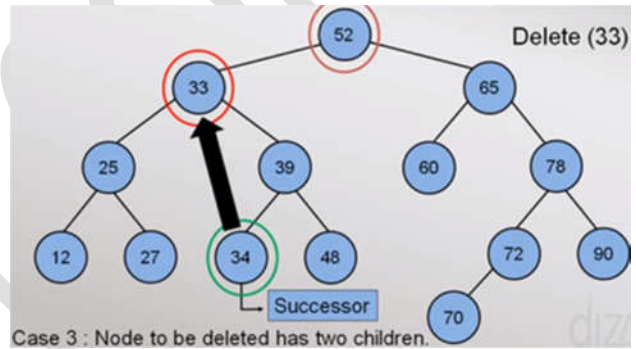
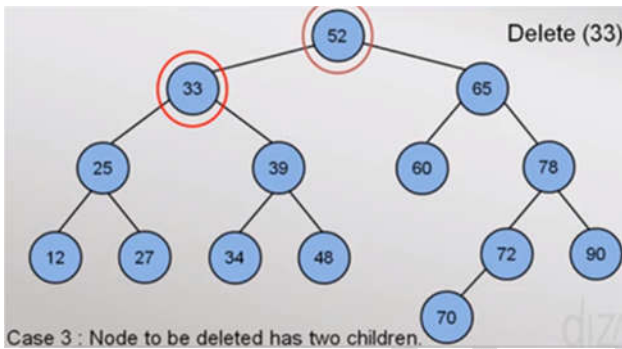
**Case 3:**

- Two possible configurations of a node N that has two children:

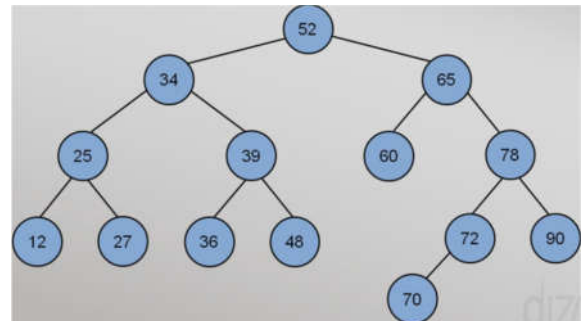
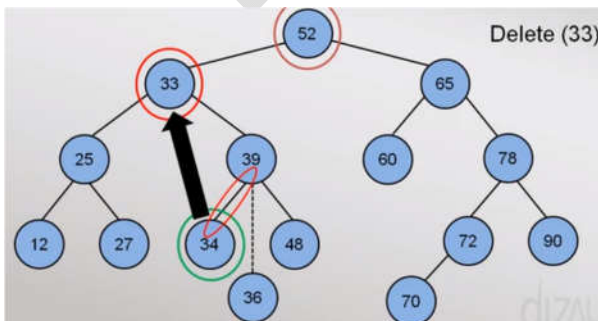


- A node with two children is replaced by using the **smallest** item in the right subtree (**Successor**).

**Example:** delete(33)



What if node **34** has a right child (e.g. **36**)?





```
// case 3: node to be deleted has 2 children
else {
    Node successor = getSuccessor(current);
    if (current == root)
        root = successor;
    else if (isLeftChild) {
        parent.left = successor;
    } else {
        parent.right = successor;
    }
    successor.left = current.left;
}
```

```
private Node getSuccessor(Node node) {
    Node parentOfSuccessor = node;
    Node successor = node;
    Node current = node.right;
    while (current != null) {
        parentOfSuccessor = successor;
        successor = current;
        current = current.left;
    }
    if (successor != node.right) { // fix successor connections
        parentOfSuccessor.left = successor.right;
        successor.right = node.right;
    }
    return successor;
}
```

### Soft Delete (lazy deletion):

When an element is to be deleted, it is left in the tree and simply **marked** as being deleted.

- If a deleted item is reinserted, the overhead of allocating a new cell is avoided.

### Tree Height:

```
public int height() { return height(root); }
public int height(TNode node) {
    if (node == null) return 0;
    if (node.isLeaf()) return 1;
    int left = 0;
    int right = 0;
    if (node.hasLeft()) left = height(node.left);
    if (node.hasRight()) right = height(node.right);
    return (left > right) ? (left + 1) : (right + 1);
}
```





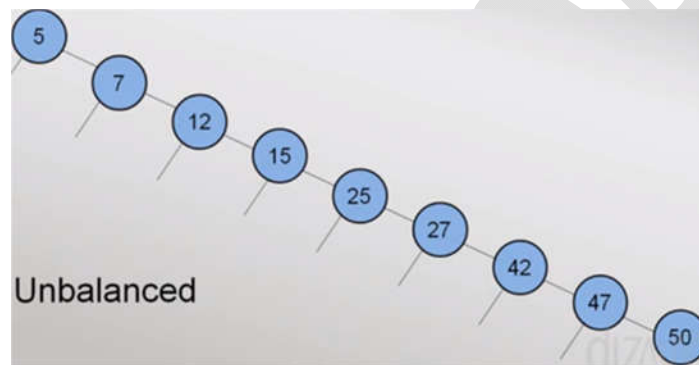


## Efficiency of Operations:

- For tree of height  $h$ 
  - The operations **add**, **delete**, and **find** are  $O(h)$
- If tree of  $n$  nodes has height  $h = n$ 
  - These operations are  $O(n)$
- Shortest tree is **complete**
  - Results in these operations being  $O(\log n)$

## Unbalanced Tree:

- The order in which you add entries to a binary search tree affects the shape of the tree.  
**Example: add 5, 7, 12, 15, 25, 27, 42, 47, 50**



- If you add entries into an initially empty binary search tree, do not add them in sorted order.

