

## - Chapter 5:

o Maximum CPU utilization obtained with multiprogramming  
- I/O burst cycle -

o CPU process execution consists of a cycle of CPU execution and I/O wait  
↳ CPU burst followed by I/O burst

o Short term scheduler: selects among the processes in the ready queue,  
and allocates the CPU to one of them.  
↳ Queue may be ordered

o CPU scheduling decisions may take a place when a process:

↳ 1) Switches from running to waiting state.

2) Switches from running to ready state.

3) Switches from waiting to ready

4) Terminates

o In 1 and 4 the process stopped by her own (nonpreemptive)  
while in the rest, it was forced to stop (time slice ended, need new,  
high priority state ready) - preempt lower priority) and called preemptive

o Dispatcher: gives control of the CPU to the process selected by the  
Short-term scheduler

↳ 1) Switching context [Store old PCB, reload new PCB]

2) Switching to user mode

3) Jumping to the proper location in the user program to  
restart that program [defined by PC]

→ dispatch latency: time it takes the dispatcher to stop one  
(overhead) process and start another running.

- o CPU time: time process needs from the CPU to finish
- o I/O time: time a process needs to do all its I/O
- o wait time: time the process spends outside the CPU (I/O + Ready)
- o Start time: time the process entered ready queue.
- o Finish time: time the process exits the system (terminated)
- o TurnAround (TA) time: Finish time - Start time
- o wait (w) time: TA - Total time

### Scheduling Criteria

↳ 1) CPU utilization - keep the CPU Busy as possible = CPU work time

**Max**  $\frac{\text{CPU work time}}{\text{CPU work time} + \text{CPU wait time}}$

2) Throughput: # of processes that complete their execution per time unit

**Max** : per process

3) Turnaround time: amount of time to execute a particular process.

**Min**

4) waiting time: amount of time a process has been waiting in the ready queue

**Min**

5) Response time: amount of time it takes from when a request was

**Min** Submitted until the first response is produced. not output  
(for time-sharing environment)

### o First-come, First-Served (FCFS) Scheduling

Process	Burst Time	Arr-time	Duration	Finish	TA	WTAC (waited Turnaround)
P <sub>1</sub>	24	0	24	24	24	1 (↳ TA/Duration)
P <sub>2</sub>	3	0	3	27	27	9
P <sub>3</sub>	4	0	3	30	30	10

- consider one CPU-bound and many I/O-bound processes
- o Convoy effect: Short process behind long process
- o Shortest Job First (SJF) Scheduling. (non-preemptive)
  - ↳ 1) associate with each process the length of its next CPU burst.
  - 2) is optimal - gives minimum average ~~time~~ waiting time for a given set of processes.
  - 3) has a difficulty in knowing the length of the next CPU request. (could ask the user)
- o Shortest remaining time first (preemptive version of SJF)

o Priority Scheduling: a priority number is associated with each process.  
 ↳ the CPU is allocated to the process with the highest priority  
 (preemptive or nonpreemptive)

o SJF is priority scheduling where priority is the inverse of predicted next CPU burst time.

o Has a problem (Starvation) - low priority processes may never execute  
 ↳ a solution (Aging) - as time progresses increase the priority of the process.

o Determine length of next CPU burst.

↳ How to determine shortest job? Rebeat (known); Predict

o can only estimate the length - should be similar to the previous one.

↳ then pick process with shortest predicted next CPU burst.

→ can be done by using the length of the previous CPU burst, using

1)  $t_n$  = actual length of  $n^{\text{th}}$  CPU burst.

2)  $\hat{t}_{n+1}$  = predicted value for the next CPU burst.

3)  $\alpha$ ,  $0 \leq \alpha \leq 1$  / Commonly  $\alpha$  set to  $1/2$

4)  $\hat{t}_{n+1} = \alpha t_n + (1-\alpha)\hat{t}_n$

o If  $\alpha = 0$ , Recent history does not count.

o If  $\alpha = 1$ , Only the actual last CPU burst counts

• Since both  $\alpha$  and  $(1-\alpha)$  are less than or equal to 1, each successive term has less weight than its predecessor.

• Mono-programming (the process had all CPU time)

• Round Robin (RR): Each process gets a small unit of CPU time (time quantum), after this time has elapsed, the process is preempted and added to the end of the ready queue. [time usually 10-100 millisecond]

• when having  $n$  processes in the ready queue, and the time quantum is  $q$ , each process gets  $1/n$  of the CPU time in chunks of at most  $q$  time unit at once. [no process waits more than  $(n-1)q$  time unit]

• Timer interrupts every quantum to schedule next process.

• Performance:

↳ . if  $q$  is large  $\rightarrow$  FIFO

• if  $q$  is small  $\rightarrow$  "Overhead is high"  $q$  must be large with respect to context switch

80% of CPU bursts should be shorter than  $q$  to context switch

• Context Switch and time quantum:

↳ . Context Switch = 1ms + time quantum = 1  $\rightarrow$  waste 5%

• Context Switch = 1ms + time quantum = 9  $\rightarrow$  waste 10%

• Context Switch = 1ms + time quantum = 99  $\rightarrow$  waste 17%

• RR is higher average turnaround than SJF, but better response  $\downarrow$  10

• RR with similar processes: # of processes with same ratio of CPU and wait time per sec

↳ degree of MP = # of processes

•  $q$  should be large compared to context switch time:  $q \in [10, 100]$  ms, context switch  $\in [1, 10]$  sec

• Multilevel queue:  $\Rightarrow$  Ready queue is partitioned into separate queues: Switch

↳ 1) foreground (interactive) 2) background (batch)

FG BG

## - Chapter 5 - Cont. #1

- Process permanently in a given queue (FGI or BGI)

↳ each queue has its own scheduling algorithm

- FGI → RR

- BGI → FCFS

- Scheduling must be done between the queues

↳ 1) Time slice: each queue gets a certain amount of CPU time which it can schedule amongst its processes.

2) Fixed priority: serve all from ~~back~~ foreground and then background.

[possibility of starvation]

- priorities from highest to lowest: (Multilevel queue scheduling)

1) System Processes

2) Interactive processes

3) Interactive editing processes

4) batch processes

5) student processes X سبيل الخ

- A process can move between the various queues, aging can be implemented this way

- Multi-level feedback queue scheduler

↳ 1) number of queues

2) scheduling algorithms for each queue

3) method used to determine when to upgrade a process.

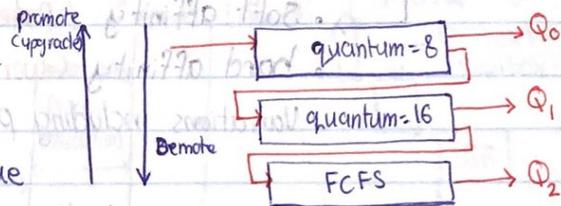
4) method used to determine when to downgrade a process.

5) method to determine which queue a process will enter

when that process needs service [initially joins which queue]

- thread scheduling → distinction between user-level and kernel-level threads.

↳ when threads supported, threads scheduled not processes.



o In Many-to-one and Many-to-Many models, thread library schedules user-level user threads to run on LWP. not global

↳ Process - contention scope (PCS): Scheduling competition is within the process

- done via priority set by programmer

Kernel threads are scheduled into available CPU (System-contention Scope) (SCS): competition among all threads in the system.

• pthread scheduling: API allows specifying PCS or SCS during thread creation.

o CPU scheduling more complex when multiple CPUs are available

↳ Homogeneous processors (they all are the same) within a multiprocessor

- Asymmetric multiprocessor: only one processor accesses the system data structure, alleviating the need for data sharing.

- Symmetric multiprocessor: (SMP) each processor is self-scheduling, (currently most common) all processes in common ready queue, or each has its own private queue of ready processes.

- processor affinity: process has affinity for processor on which it is currently running.

↳ • Soft affinity (prefers to work on a certain process if available)

• hard affinity (work on a certain process only)

• Variations including processor sets.

- Load Balancing in multiple-processor scheduling

↳ SMP need to keep all CPUs loaded for efficiency

↳ 1) Load balancing: attempts to keep workload evenly distributed

2) Push migration: Periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs.

3) Pull migration: idle processor pull waiting tasks from busy processor

[ could be for both SMP and AMP ]

- Multicore processors
  - ↳ they start to replace multiple processors cores on same physical chip, this is faster and consumes power less, in addition to having multiple threads per core growing.

- takes advantage of memory stall make progress on another thread while memory retrieve happens

- Real-time CPU scheduling

- ↳ 1) Soft real-time ~~some~~ systems: no guarantee as to when critical real-time process will be scheduled: (ex: video, speech)

- ↳ 2) Hard real-time systems: task must be serviced by its deadline (ex: power switch, car breaking)

- latencies that affect performance.

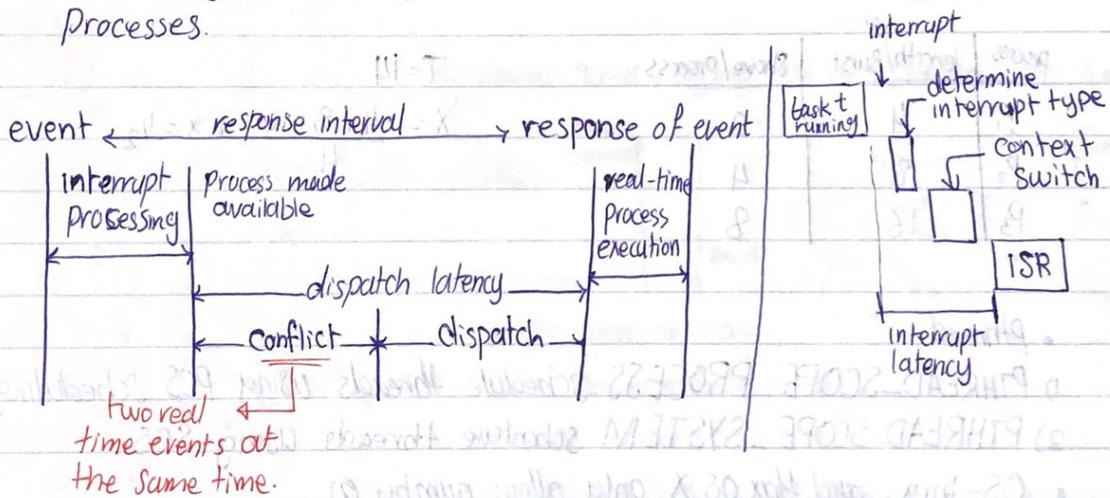
- ↳ 1) interrupt latency: time from arrival of interrupt to start of routine that services interrupt.

- ↳ 2) dispatch latency: time for schedule to take current process off CPU and switch to another.

- Conflict phase of dispatch latency:

- ↳ 1) preemption of any process running on kernel mode.

- ↳ 2) Release by low-priority process of resources needed by high-priority processes.



- Priority-based Scheduling: for real-time, scheduler must support preemptive, Priority-based Scheduling.

↳ only guarantees soft real-time: no guarantee for in

- for hard real-time must provide ability to meet deadlines. (time work)

- Processes have new characteristics

- ↳ periodic ones require CPU at constant intervals.

- ↳ 1. Has processing time  $t$ , deadline  $d$ , and a period  $P$ .  $0 \leq t \leq d \leq P$
- ↳ 2. Rate of periodic task is  $1/P$ .

- Rate Monotonic Scheduling: A priority is assigned based on the inverse of its period.

- ↳ Shortest period  $\rightarrow$  higher priority

- Earliest deadline First (EDF)

- ↳ the earlier the deadline, the higher the priority.

- Proportional Share Scheduling

- ↳  $T$  shares are allocated among all processes in the system

- ↳ an application receives  $N$  shares where  $N < T$ , this ensures each application will receive  $N/T$  of the total processor time.

process	length/Burst	Share/process	$T=14$
$P_1$	4	2	$\frac{1}{x} = \frac{4+8+16}{4} \Rightarrow x = 1/2$
$P_2$	8	4	
$P_3$	16	8	

- pthread:

- 1) PTHREAD\_SCOPE\_PROCESS schedule threads using PCS scheduling

- 2) PTHREAD\_SCOPE\_SYSTEM schedule threads using SCS

- OS-linux and Mac OS X only allow number 2

- ↳ can undo good scheduling algorithm efforts of guests.

- Virtualization and scheduling: virtualization software schedules multiple guests

- ↳ Each guest doing its own sched onto CPUs

- 1) not knowing it doesn't own the CPU

- 2) Can result in poor response time

- 3) Can effect time-of-day clocks on guests.

## Ch5: Process Synchronization

- Processes can execute concurrently.
- Concurrent access to shared data may result in data inconsistency.
- Solution for the Consumer-Producer problem that fills a buffer

1. Initially, Counter is 0
2. ++ by the producer
3. -- by the consumer

- Machine instruction: Atomic if cannot be interrupted
- Critical section: Process may be changing common variables, updating shared table, writing shared file

→ when a process in a critical section, no other may be in its Critical Section.

⇒ the part of the program (process) that is accessing and changing shared data is called **critical section**

- Critical Section Problem is to design protocols to solve this, each process must:
  - ask permission to enter critical section in entry section.
  - may follow critical section with exit section.
  - Then perform the remainder section.

- Peterson's Solution.

### • Solution to Critical Section Problem:

- ↳ • Mutual Exclusion: if a process is executing in its critical section, no other process can be executing in their critical sections
- Progress: Bounded waiting: If no process is executing in its critical section and there exist processes that wish to enter their critical section, then the selection of the next one cannot be postponed indefinitely
- Bounded waiting: a bound must exist on the number of times that the other processes are allowed to enter their critical sections after a process has made a request to enter its critical section and before that request is granted

- test and Set instruction

- ↳ Executed atomically [ cannot be interrupted ]

- Returns the original value of passed parameter
- Set the new passed parameter to "True"

- Compare-and-Swap instruction / int compare-and-Swap(int\*value, int expected,

- ↳ Executed atomically (int\*new-value)

- Returns the original value
- Set the variable "value" to the value of passed by parameter but only if  $value == expected$  (the swap takes place only under this condition)

- Semaphore: Synchronization tool that provides more sophisticated ways (than mutex locks) for process to Synchronize their ~~are~~ activities

- ↳ only accessed via two indivisible (atomic) operations

- ↳ wait() and signal() [ P() and V() ]

- Counting semaphore: integer value can range over an unrestricted domain

- Binary semaphore: integer value can range only between 0 and 1

- ↳ Same as mutex lock

- Deadlock: two or more processes are waiting indefinitely for an event that can be caused by only one of the waiting processes.

- Starvation-indefinite blocking: A process may never be removed from the semaphore queue in which it is suspended.

- Priority Inversion: Scheduling problem when lower-priority process holds a lock needed by higher-priority process.

- Solved via priority-inheritance protocol